

I. TUNNELING THROUGH POTENTIAL BARRIER

Beam of free particles moving to the right is described by the wavefunction

$$\psi(x, t) = e^{ik(x-vt)} = e^{ikx-i\omega t} = e^{i\frac{p}{\hbar}x - i\frac{E}{\hbar}t}$$

Beam of free particles moving to the left is described by the wavefunction

$$\psi(x, t) = e^{-ik(x+vt)} = e^{-ikx-i\omega t} = e^{-i\frac{p}{\hbar}x - i\frac{E}{\hbar}t}$$

For the solution of stationary Schrödinger equation, e^{ikx} corresponds to right-moving particle whereas e^{-ikx} to the left-moving one.

Potential barrier: $V = V_0$ if $a > x > 0$ and $V = 0$ otherwise can be written as

$$V(x) = V_0 \theta(a-x)\theta(x) \quad (1)$$

1. Method of solution of stationary Schrödinger equation

Stationary Schrödinger equation

$$-\frac{\hbar^2}{2m} \frac{d^2\psi(x)}{dx^2} + V(x)\psi(x) = E\psi(x) \quad (2)$$

We will consider the case $V_0 > E$ (the case $E > V_0$ is similar).

$$\begin{aligned} -\frac{\hbar^2}{2m} \frac{d^2\psi(x)}{dx^2} &= E\psi(x) & x < 0 \text{ and } x > a \\ -\frac{\hbar^2}{2m} \frac{d^2\psi(x)}{dx^2} &= -(V_0 - E)\psi(x) & a \geq x \geq 0 \end{aligned} \quad (3)$$

Method of solution: solve in three separate regions and use matching condition: $\psi(x)$ and $\psi'(x)$ must be continuous at $x = 0$ and $x = a$

The equation (10) in the region $|x| < a$ can be rewritten as

$$-\frac{\hbar^2}{2m} \frac{d^2\psi(x)}{dx^2} = E\psi(x) \Leftrightarrow \frac{d^2\psi(x)}{dx^2} = -\frac{2mE}{\hbar^2}\psi(x) = -k^2\psi(x) \quad (4)$$

(since $k = \frac{p}{\hbar}$). The general solution at $x < 0$ is

$$\psi_1(x) \stackrel{x < 0}{=} Ae^{ikx} + Be^{-ikx} \quad (5)$$

where $k = \frac{\sqrt{2mE}}{\hbar}$. This solution describes the sum of incident wave and reflected wave at $x < 0$.

The general solution in $a > x > 0$ region is

$$\psi_2(x) \stackrel{a > x > 0}{=} C e^{-\alpha x} + D e^{\alpha x} \quad (6)$$

where $\alpha = \frac{\sqrt{2m(V_0 - E)}}{\hbar}$.

The general solution in $x > a$ region can be written as

$$\psi_3(x) \stackrel{x > a}{=} F e^{ikx} + G e^{-ikx} \quad (7)$$

However, if there is no left-moving beam incident on the barrier from the right we should set $G = 0$ (allowing $G \neq 0$ means that we consider an additional beam incident on our barrier from the right). Thus, in the region $x > a$ the solution has the form

$$\psi_3(x) \stackrel{x > a}{=} F e^{ikx} \quad (8)$$

The goal is to find the so-called transmission coefficient

$$T \equiv \frac{|F|^2}{|A|^2}$$

How to find T : use matching conditions.

2. Matching conditions

Matching conditions at $x = 0$:

$$\begin{aligned} \psi_1(0) = \psi_2(0) &\Rightarrow A + B = C + D \\ \psi_1'(0-) = \psi_2'(0+) &\Rightarrow ik(A - B) = -\alpha C + \alpha D \Leftrightarrow D - C = i\kappa(A - B) \end{aligned} \quad (9)$$

where $\kappa \equiv \frac{k}{\alpha}$.

Matching conditions at $x = a$:

$$\left. \begin{aligned} \psi_2(0) = \psi_3(0) &\Rightarrow C e^{-\alpha a} + D e^{\alpha a} = F e^{ika} \\ \psi_2'(a-) = \psi_3'(a+) &\Rightarrow -C \alpha e^{-\alpha a} + D \alpha e^{\alpha a} = ik F e^{ika} \end{aligned} \right\} \Rightarrow \frac{D e^{\alpha a} - C e^{-\alpha a}}{D e^{\alpha a} + C e^{-\alpha a}} = i\kappa \Rightarrow C = \frac{1 - i\kappa}{1 + i\kappa} D e^{2\alpha a} \quad (10)$$

Note that if you multiply all A, B, \dots, F constants by the same number, nothing changes. To simplify calculations, it is convenient to express A, B, C, D in terms of F rather than in terms of A . From Eq. (10) we get

$$\begin{aligned} C &= \frac{1 - i\kappa}{2} e^{ika + \alpha a} F \\ D &= \frac{1 + i\kappa}{2} e^{ika - \alpha a} F \end{aligned} \quad (11)$$

From Eq. (9) we get

$$\begin{aligned} A + B &= C + D = \frac{1}{2}[(1 - i\kappa)e^{\alpha a} + (1 + i\kappa)e^{-\alpha a}]e^{ika}F \\ A - B &= \frac{i}{\kappa}(C - D) = \frac{i}{2\kappa}[(1 - i\kappa)e^{\alpha a} - (1 + i\kappa)e^{-\alpha a}]e^{ika}F \end{aligned} \quad (12)$$

and therefore

$$A = \left[\frac{1}{2} \left(1 + i \frac{1 - \kappa^2}{2\kappa} \right) e^{\alpha a} + \frac{1}{2} \left(1 - i \frac{1 - \kappa^2}{2\kappa} \right) e^{-\alpha a} \right] e^{ika} F \quad (13)$$

Inverting this expression we get

$$F = \frac{1}{2} \left[\left(1 + i \frac{1 - \kappa^2}{2\kappa} \right) e^{\alpha a} + \left(1 - i \frac{1 - \kappa^2}{2\kappa} \right) e^{-\alpha a} \right]^{-1} e^{-ika} A \quad (14)$$

and therefore the transmission coefficient is

$$T \equiv \frac{|F|^2}{|A|^2} = \frac{4}{\left[\frac{(1 + \kappa^2)^2}{4\kappa^2} (e^{2\alpha a} + e^{-2\alpha a} - 2) + 4 \right]} = \left[\frac{(1 + \kappa^2)^2}{4\kappa^2} \sinh^2 \alpha a + 1 \right]^{-1} \quad (15)$$

3. Result for transmission coefficient

Since $\kappa^2 = \frac{k^2}{\alpha^2} = \frac{E}{V_0 - E}$ and $1 + \kappa^2 = \frac{V_0}{V_0 - E}$ Eq. (15) can be rewritten as

$$T = \left[1 + \frac{V_0^2}{4E(V_0 - E)} \sinh^2 \alpha a \right]^{-1} \quad (16)$$

At large αa it can be approximated by

$$T = 16 \frac{E(V_0 - E)}{V_0^2} e^{-2\alpha a} \quad (17)$$

so the transmission coefficient is exponentially small.