

## 1 Introduction: BFKL pomeron in high-energy pQCD

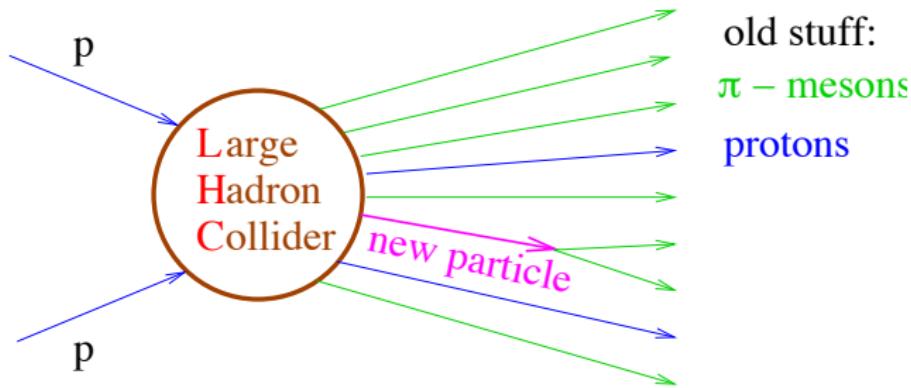
- Regge limit in QCD.
- Perturbative QCD at high energies.
- BFKL and collider physics

## 2 High-energy scattering and Wilson lines

- High-energy scattering and Wilson lines.
- Evolution equation for color dipoles.
- Light-ray vs Wilson-line operator expansion.
- Rescaling in the Regge limit.
- Propagators in a shock-wave background.
- Leading order: BK equation.

Heisenberg uncertainty principle:  $\Delta x = \frac{\hbar}{p} = \frac{\hbar c}{E}$

LHC:  $E=7 \rightarrow 14 \text{ TeV} \Leftrightarrow \text{distances } \sim 10^{-18} \text{ cm}$   
(Planck scale is  $10^{-33} \text{ cm}$  - a long way to go!)



To separate a “new physics signal” from the “old” background one needs to understand the behavior of QCD cross sections at large energies

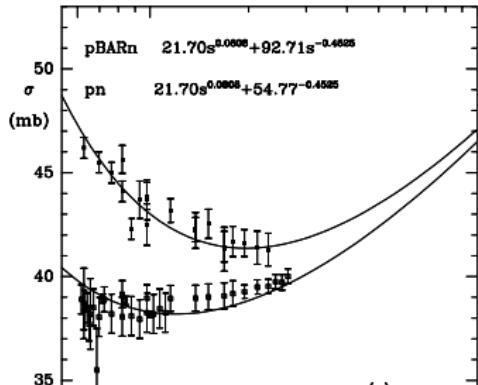
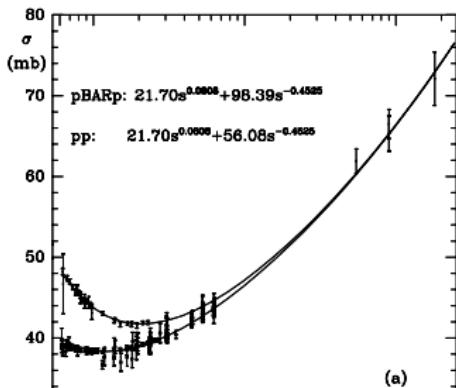
# Strong interactions at asymptotic energies: Froissart bound

Regge limit:  $E \gg$  everything else

$$\left. \begin{array}{c} \text{Causality} \\ \text{Unitarity} \end{array} \right\} \Rightarrow \sigma_{\text{tot}} \stackrel{E \rightarrow \infty}{\leq} \ln^2 E \quad \text{Froissart, 1962}$$

Long-standing problem - not explained in any quantum field theory (or string theory) in 50 years!

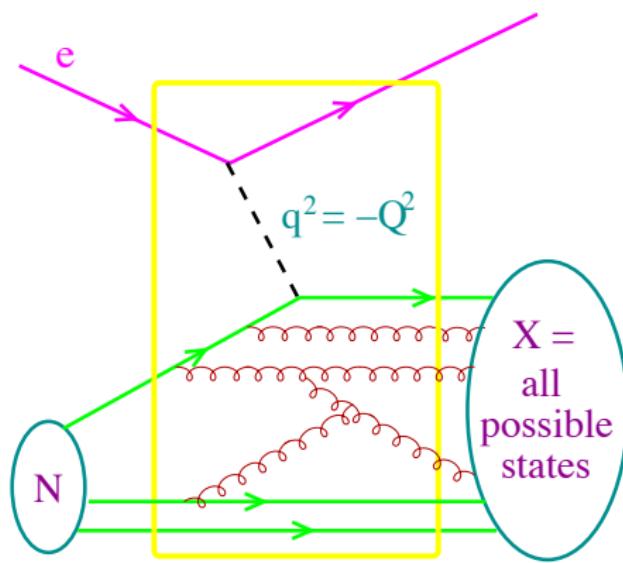
Experiment:  $\sigma_{\text{tot}} \sim s^{0.08}$  ( $s \equiv 4E_{\text{c.m.}}^2$ ). Numerically close to  $\ln^2 E$ .



# The pQCD process - Deep inelastic scattering

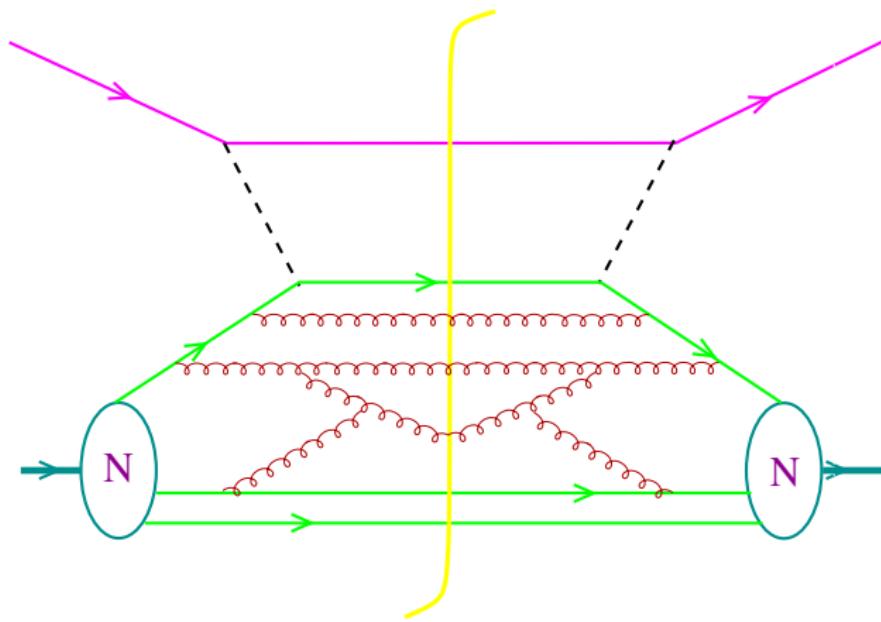
DIS:  $ep \rightarrow e + X$

Asymptotic freedom:  $\alpha_s(Q^2) \rightarrow 0$  as  $Q^2 \rightarrow \infty$



## Cross section of DIS

Optical theorem:  $\sigma_{\text{tot}} = \sum_X A_{ep \rightarrow p+X}^\dagger A_{ep \rightarrow p+X} = \Im A_{\text{forward}}$



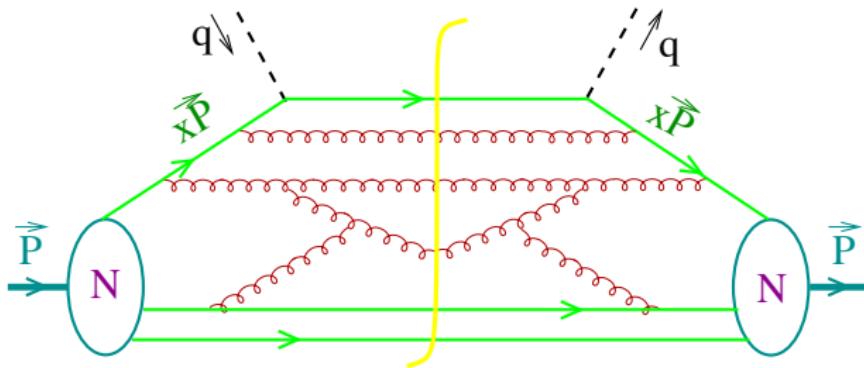
# Parton model

$$\sigma_{\text{tot}} \sim \int d^4x e^{iq \cdot x} \langle N | j_\mu(x) j_\nu(0) | N \rangle$$

Parton model (leading order of pQCD):

$$\sigma_{\text{tot}} \sim \sum_q e_q^2 D_q(x_B), \quad x_B = \frac{Q^2}{2p \cdot q}, \quad q^2 = -Q^2$$

$D_q(x)$  = probability to find the quark with fraction  $x$  of nucleon's momentum



# Deep inelastic scattering in QCD

$D_q(x_B) \rightarrow D_q(x_B, Q^2)$  - “scaling violations”

DGLAP evolution (LLA( $Q^2$ )

$$Q \frac{d}{dQ} D_q(x_B, Q^2) = K_{\text{DGLAP}} D_q(x_B, Q^2)$$

Dokshitzer, Gribov, Lipatov, Altarelli, Parisi, 1972-77

$$K_{\text{DGLAP}} = \alpha_s(Q) K_{\text{LO}} + \alpha_s^2(Q) K_{\text{NLO}} + \alpha_s^3(Q) K_{\text{NNLO}} \dots$$

The DGLAP equation sums up

$$\sum_n \left( \alpha_s \ln \frac{Q^2}{m_N^2} \right)^n [a_n + b_n \alpha_s + c_n \alpha_s^2 + \dots]$$

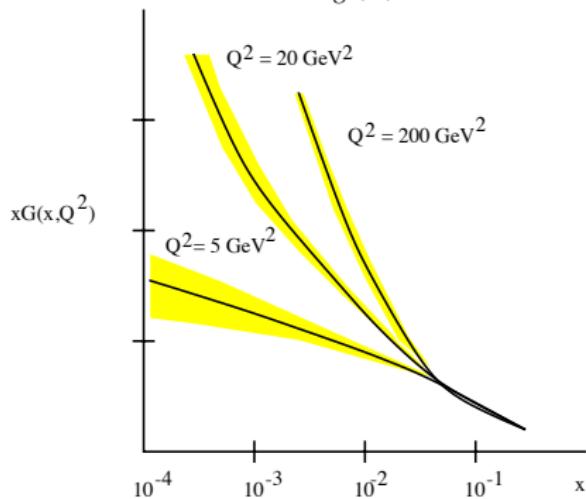
One fit at low  $Q_0^2 \sim 1 \text{ GeV}^2$  describes all the experimental data on DIS!

# Deep inelastic scattering at small $x_B$

Regge limit in DIS:  $E \gg Q \equiv x_B \ll 1$

DGLAP evolution  $\equiv Q^2$  evolution

HERA data for  $x D_g(x)$



$Q \frac{d}{dQ} D_g(x_B, Q^2) = K_{\text{DGLAP}} D_g(x_B, Q^2)$

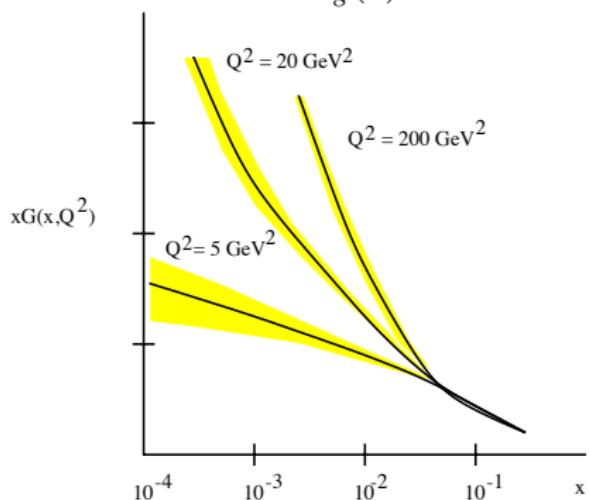
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needs the  $x$ -dependence of the input  
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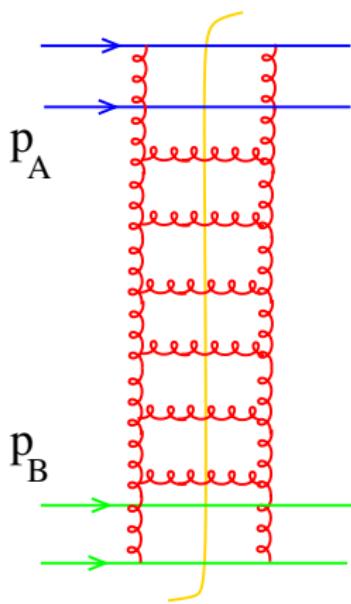
BFKL evolution  $\equiv x_B$  evolution  
(Balitsky, Fadin, Kuraev, Lipatov,  
1975-78)

$$\frac{d}{dx_B} D_g(x_B, Q^2) = K_{\text{BFKL}} D_g(x_B, Q^2)$$

Theory, but with problems

# In pQCD: Leading Log Approximation $\Rightarrow$ BFKL pomeron

$$s = (p_A + p_B)^2 \simeq 4E^2$$

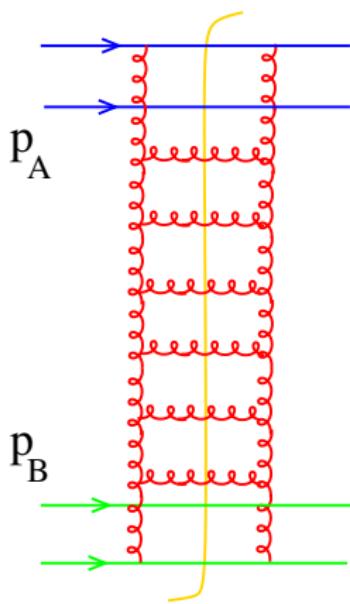


Leading Log Approximation (LLA(x)):

$$\alpha_s \ll 1, \quad \alpha_s \ln s \sim 1$$

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The sum of gluon ladder diagrams gives

$$\sigma_{\text{tot}} \sim s^{12 \frac{\alpha_s}{\pi} \ln 2} \quad \text{BFKL pomeron}$$

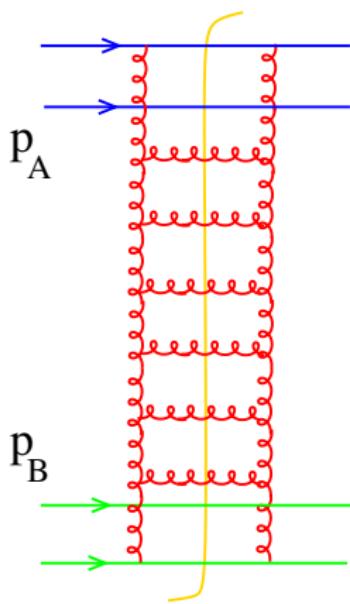
Numerically: for DIS at HERA

$$\sigma \sim s^{0.3} = x_B^{-0.3}$$

- qualitatively OK

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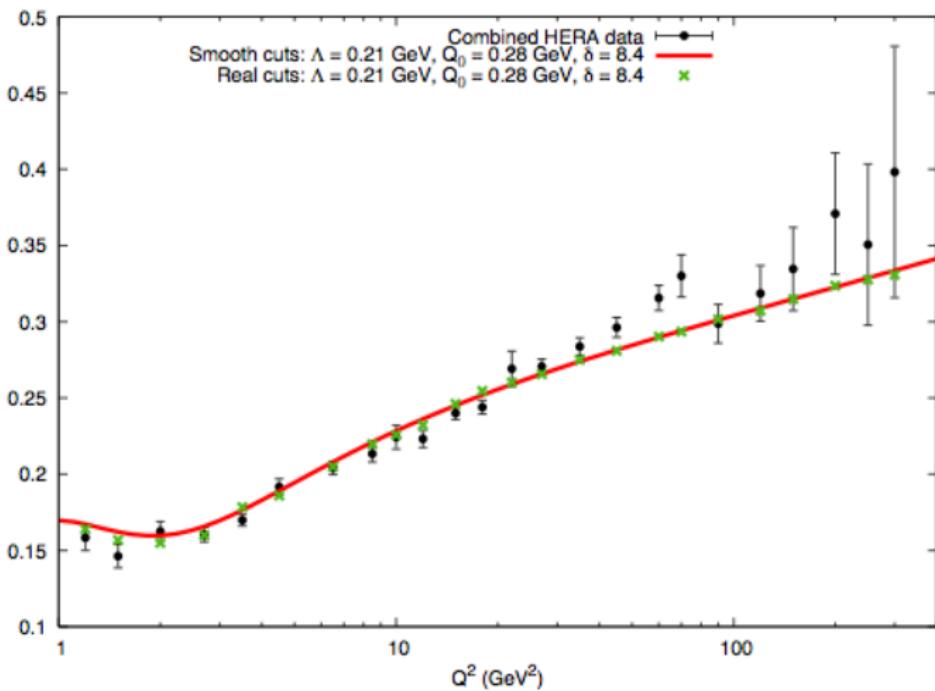
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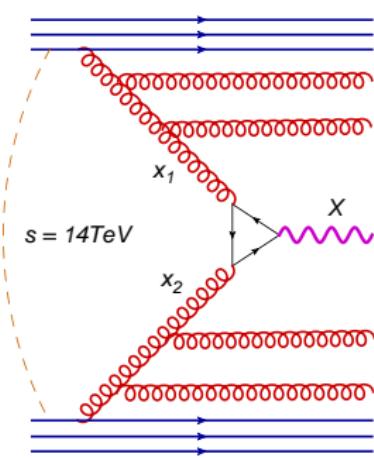
# BFKL vs HERA data

$$F_2(x_B, Q^2) = c(Q^2)x_B^{-\lambda(Q^2)}$$



M.Hentschinski, A. Sabio Vera and C. Salas, 2010

# DGLAP vs BFKL in particle production

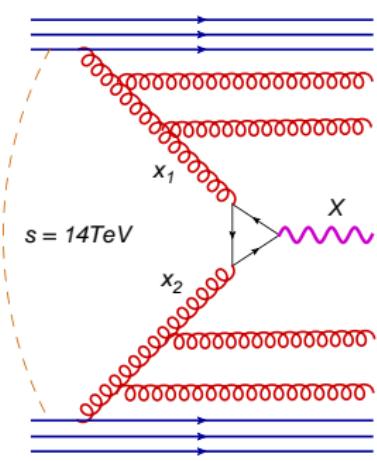


Collinear factorization (LLA( $Q^2$ )):

$$\sigma_H = \int dx_1 dx_2 D_g(x_1, m_H) D_g(x_2, m_H) \sigma_{gg \rightarrow H}$$

sum of the logs  $(\alpha_s \ln \frac{m_X^2}{m_N^2})^n$ ,  $\ln \frac{s}{m_X^2} \sim 1$

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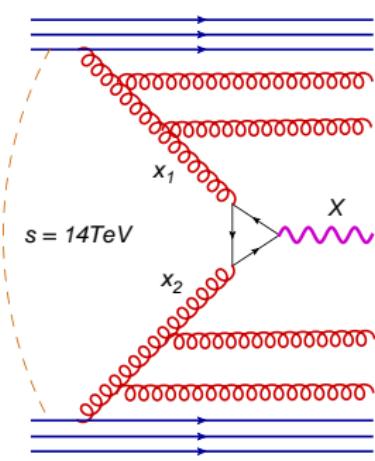
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LLA( $x$ ):  $k_T$ -factorization

$$\sigma_H = \int dk_1^\perp dk_2^\perp g(k_1^\perp, x_A) g(k_2^\perp, x_B) \sigma_{gg \rightarrow H}$$

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Much less understood theoretically.

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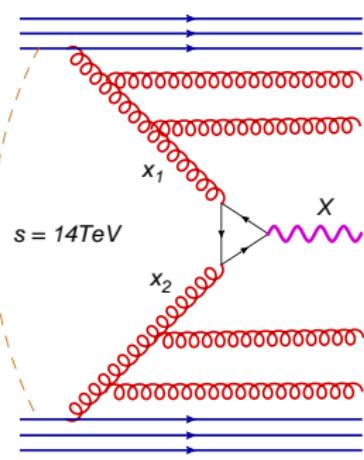
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For Higgs production in the central rapidity region  $x_{1,2} \sim \frac{m_H}{\sqrt{s}} \simeq 0.01$  and we know from DIS experiments that at such  $x_B$  the DGLAP formalism works pretty well  $\Rightarrow$  no need for BFKL resummation

# DGLAP vs BFKL in particle production



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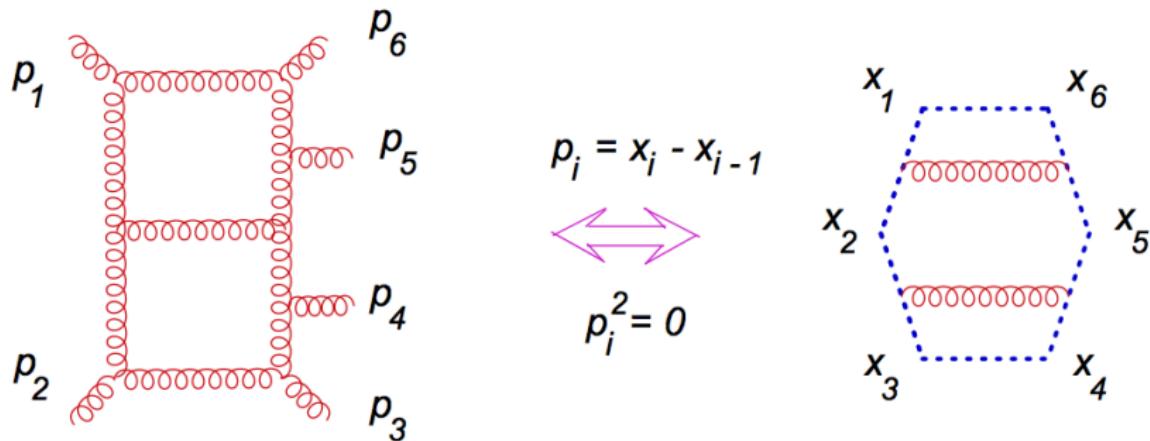
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Much less understood theoretically.

For  $m_X \sim 10\text{GeV}$  (like  $\bar{b}b$  pair or mini-jet) collinear factorization does not seem to work well  $\Rightarrow$  some kind of BFKL resummation is needed.

# Uses of BFKL: MHV amplitudes in $\mathcal{N} = 4$ SYM

MHV gluon amplitudes  $\Leftrightarrow$  light-like Wilson-loop polygons

Alday, Maldacena (at large  $\alpha_s N_c$ )

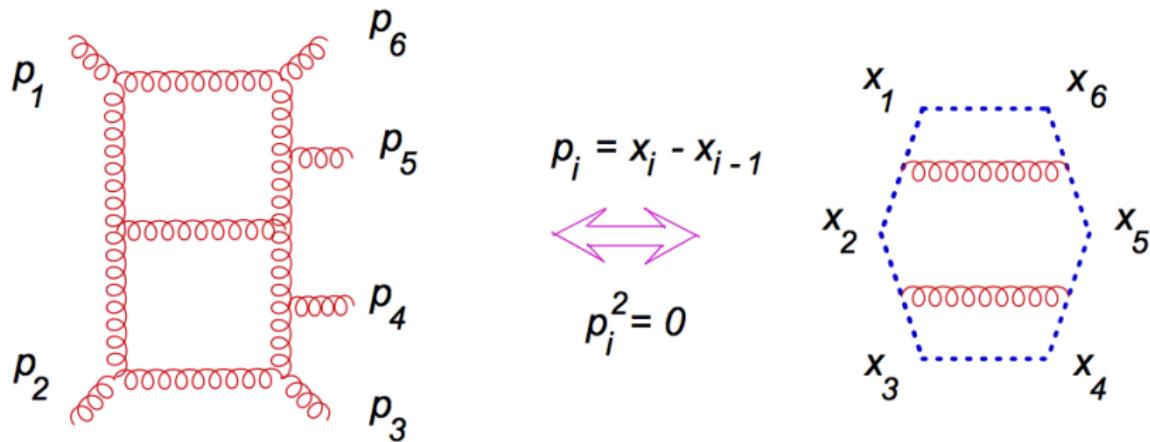


Checked up to 6 gluons/2 loops (Korchemsky et. al).

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BDS ansatz:  $\ln A^{\text{MHV}} = \text{IR terms} + F_n, \quad F_n = \Gamma_{\text{cusp}}(\text{angles}) + (F_n^1 + R_n)$

BFKL in multi-Regge region  $\Rightarrow$  asymptotics of remainder function  $R_n$  (Lipatov et al)

## Uses of BFKL: Anomalous dimensions of twist-2 operators

Structure functions of DIS are determined by matrix elements of twist-2 operators

$$\mathcal{O}_G^{(j)} = F_{\mu_1 \xi} D_{\mu_2} \dots D_{\mu_{j-1}} F_{\mu_j}^{\xi}$$

$$\mu^2 \frac{d}{d\mu^2} \mathcal{O}_G^{(j)} = \frac{\gamma_{(j)}(\alpha_s)}{4\pi} \mathcal{O}_G^{(j)}$$

BFKL gives asymptotics of  $\gamma_{(j)}$  at  $j \rightarrow 1$  in all orders in  $\alpha_s$

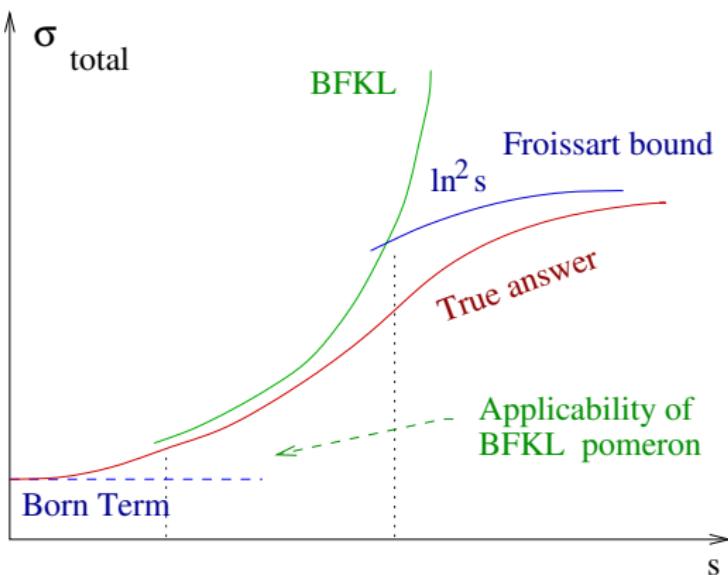
$$\gamma_{(j)} = \sum_n \left( \frac{\alpha_s}{j-1} \right)^n \left[ C_{\text{LO BFKL}}^{(n)} + \alpha_s C_{\text{NLO BFKL}}^{(n)} \right]$$

Checked by explicit calculation of Feynman diagrams up to 3 loops in QCD and  $\mathcal{N} = 4$  SYM. (Janik et al)

Integrability of spin chains corresponding to evolution of  $\mathcal{N} = 4$  SYM operators  $\Rightarrow \gamma_{(j)}$  in 5 loops agrees with BFKL (Janik et al).

For all order of pert. theory: Y-system of equations (Gromov, Kazakov, Viera). Hopefully agrees with BFKL.

# Towards the high-energy QCD



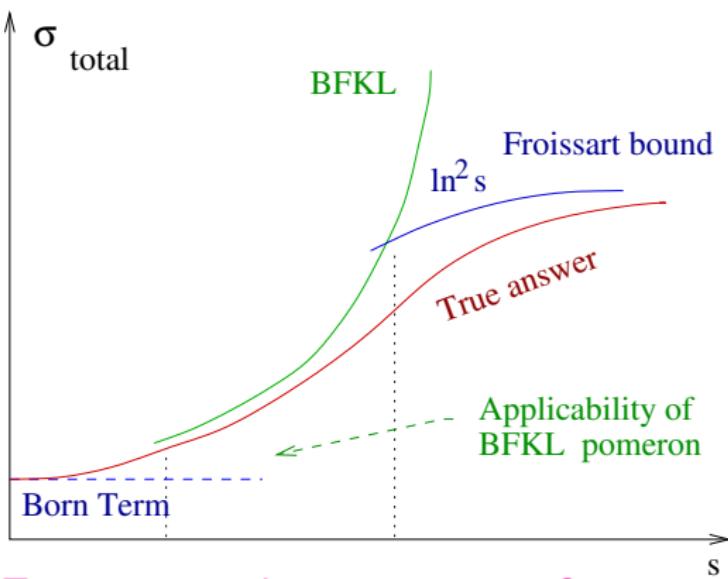
$\sigma_{\text{tot}} \sim s^{12 \frac{\alpha_s}{\pi} \ln 2}$  violates  
Froissart bound  $\sigma_{\text{tot}} \leq \ln^2 s$   
 $\Rightarrow$  pre-asymptotic behavior.

True asymptotics as  $E \rightarrow \infty = ?$

Possible approaches:

- Sum all logs  $\alpha_s^m \ln^n s$
- Reduce high-energy QCD to 2 + 1 effective theory

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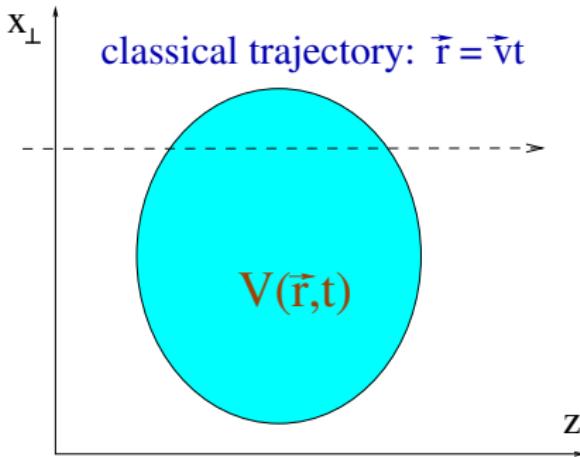
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Lecture II: NLO corrections  $\alpha_s^{n+1} \ln^n s$

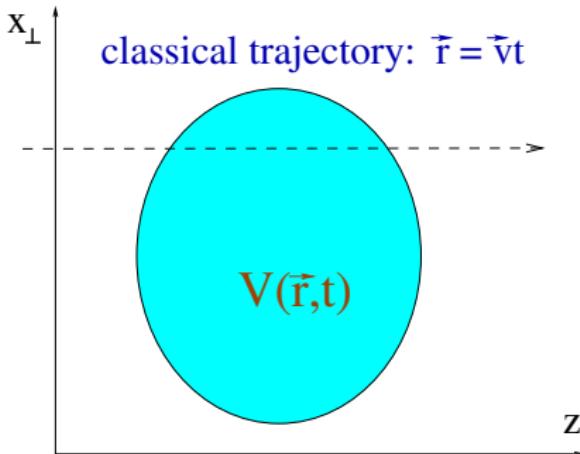
# High-energy scattering and “Wilson lines” in quantum mechanics



WKB approximation:  $\Psi \sim e^{\frac{i}{\hbar}S}$

$$\begin{aligned} S &= \int (pdz - Edt) \\ &= -Et + \int^z dz' \sqrt{2m(E - V(z'))} \end{aligned}$$

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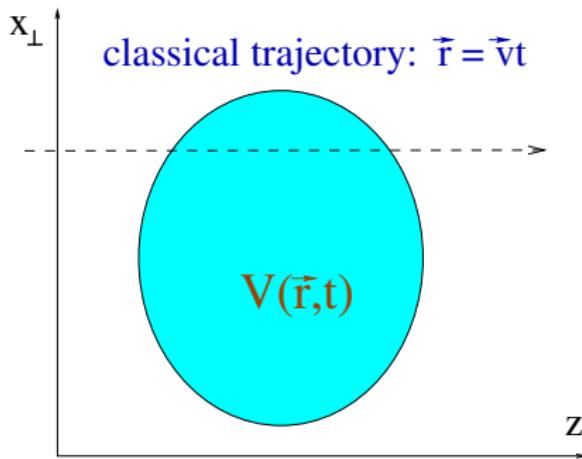
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High energy:  $E \gg V(x) \Rightarrow$

$$\Psi(\vec{r}, t) = e^{-\frac{i}{\hbar}(Et - kx)} e^{-\frac{i}{v\hbar} \int_{-\infty}^z dz' V(z')}$$

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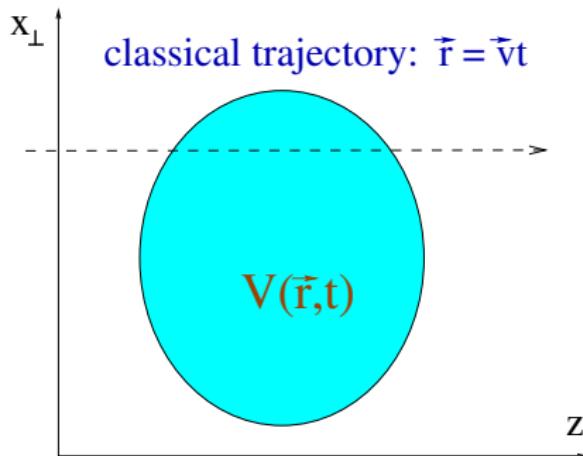
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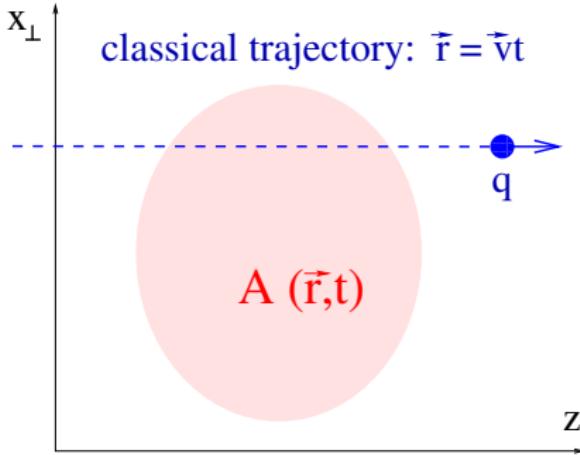
$\Psi$  at high energy = free wave  $\times$  phase factor ordered along the line  $\parallel \vec{v}$ .

The scattering amplitude is proportional to  $\Psi(t = \infty)$  defined by

$$U(x_\perp) = e^{-\frac{i}{\hbar} \int_{-\infty}^{\infty} dz' V(z' + x_\perp)}$$

Glauber formula:  $\sigma_{\text{tot}} = 2 \int d^2 x_\perp [1 - \Re U(x_\perp)]$

# High-energy phase factor in QED and QCD

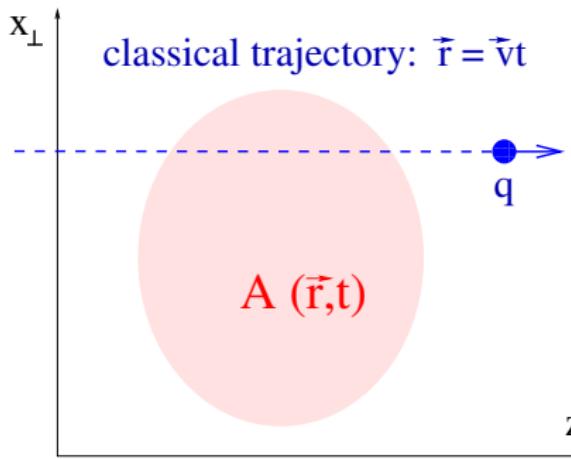


$$\begin{aligned} S_e &= \int dt \left\{ -mc^2 \sqrt{1 - \frac{\vec{v}^2}{c^2}} - e\Phi + \frac{e}{c} \vec{v} \cdot \vec{A} \right\} \\ &= S_{\text{free}} + \int dt (-e\Phi + \frac{e}{c} \vec{v} \cdot \vec{A}) \end{aligned}$$

⇒ phase factor for the high-energy scattering is

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In QCD  $e \rightarrow -g$ ,  $A_\mu \rightarrow A_\mu \equiv A_\mu^a t^a$   $t^a$  - color matrices

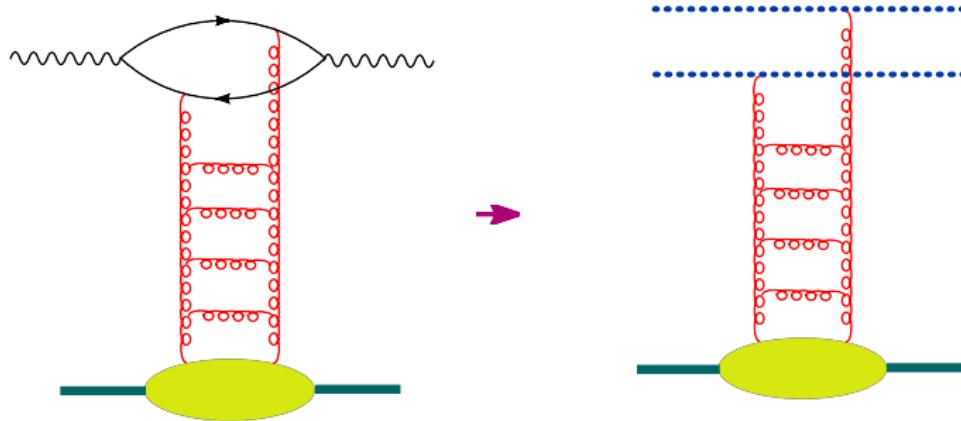
$$\Rightarrow U(x_\perp, v) = P \exp \left\{ \frac{ig}{\hbar c} \int_{-\infty}^{\infty} dt \dot{x}_\mu A^\mu(x(t)) \right\}$$

Wilson – line operator

(Later  $\hbar = c = 1$ )

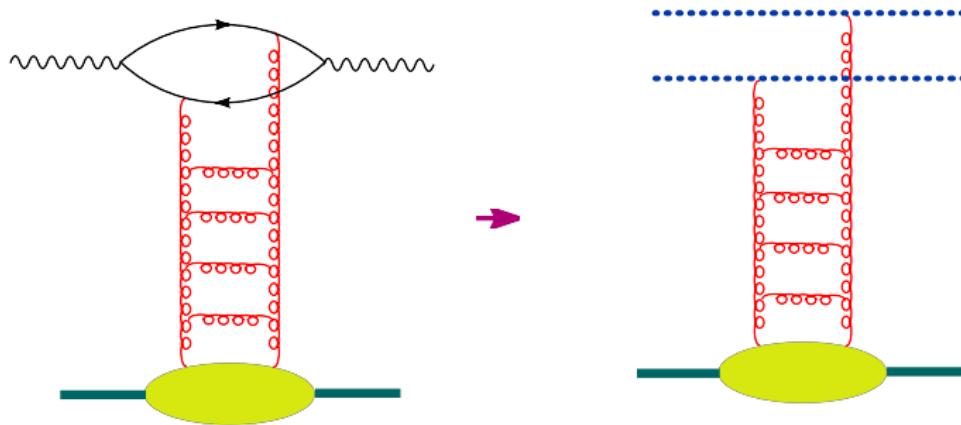
## DIS at high energy

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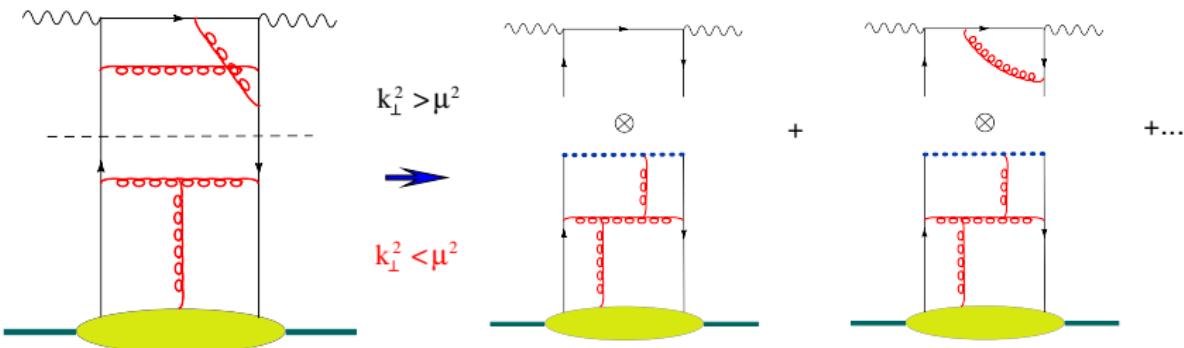
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$$A(s) = \int \frac{d^2 k_\perp}{4\pi^2} I^A(k_\perp) \langle B | \text{Tr}\{ \mathcal{U}(k_\perp) \mathcal{U}^\dagger(-k_\perp) \} | B \rangle$$

Formally,  $\rightarrow$  means the operator expansion in Wilson lines

# Light-cone expansion and DGLAP evolution in the NLO

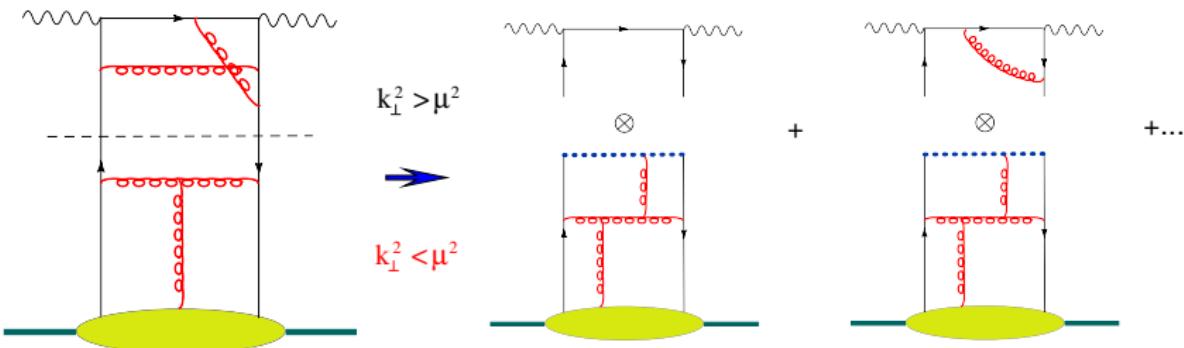


$\mu^2$  - factorization scale (normalization point)

$k_\perp^2 > \mu^2$  - coefficient functions

$k_\perp^2 < \mu^2$  - matrix elements of light-ray operators (normalized at  $\mu^2$ )

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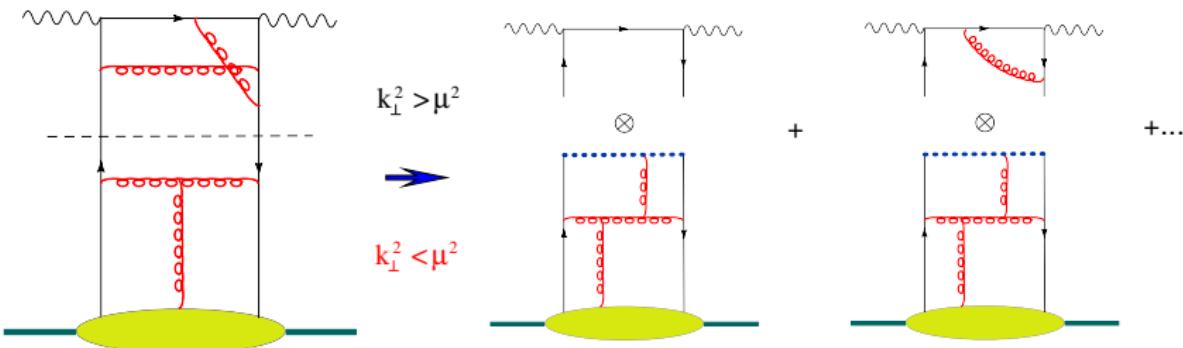
OPE in light-ray operators

$$(x-y)^2 \rightarrow 0$$

$$T\{j_\mu(x)j_\nu(y)\} = \frac{x_\xi}{2\pi^2 x^4} \left[ 1 + \frac{\alpha_s}{\pi} (\ln x^2 \mu^2 + C) \right] \bar{\psi}(x) \gamma_\mu \gamma^\xi \gamma_\nu [x, y] \psi(y) + O(\frac{1}{x^2})$$

$$[x, y] \equiv P e^{ig \int_0^1 du (x-y)^\mu A_\mu(ux+(1-u)y)} - \text{gauge link}$$

# Light-cone expansion and DGLAP evolution in the NLO



$\mu^2$  - factorization scale (normalization point)

$k_\perp^2 > \mu^2$  - coefficient functions

$k_\perp^2 < \mu^2$  - matrix elements of light-ray operators (normalized at  $\mu^2$ )

Renorm-group equation for light-ray operators  $\Rightarrow$  DGLAP evolution of parton densities  
 $(x - y)^2 = 0$

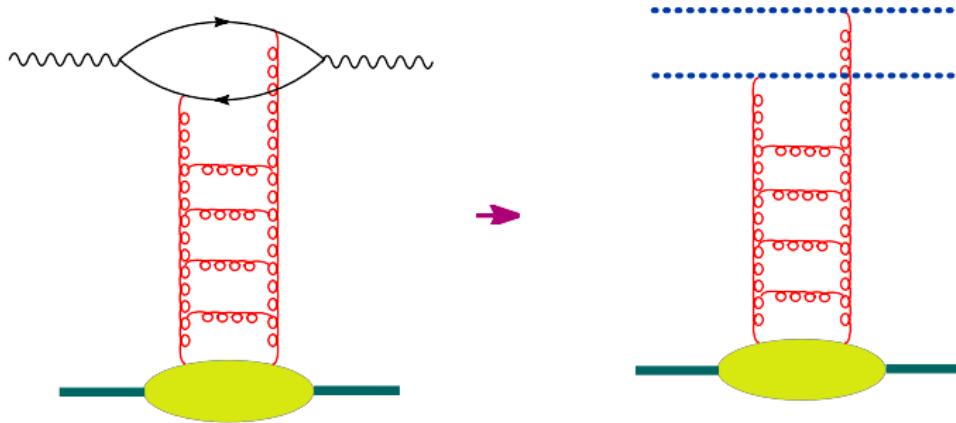
$$\mu^2 \frac{d}{d\mu^2} \bar{\psi}(x)[x, y] \psi(y) = K_{\text{LO}} \bar{\psi}(x)[x, y] \psi(y) + \alpha_s K_{\text{NLO}} \bar{\psi}(x)[x, y] \psi(y)$$

## Four steps of an OPE

- Factorize an amplitude into a product of coefficient functions and matrix elements of relevant operators.
- Find the evolution equations of the operators with respect to factorization scale.
- Solve these evolution equations.
- Convolute the solution with the initial conditions for the evolution and get the amplitude

## DIS at high energy: relevant operators

- At high energies, particles move along straight lines  $\Rightarrow$  the amplitude of  $\gamma^* A \rightarrow \gamma^* A$  scattering reduces to the matrix element of a two-Wilson-line operator (color dipole):



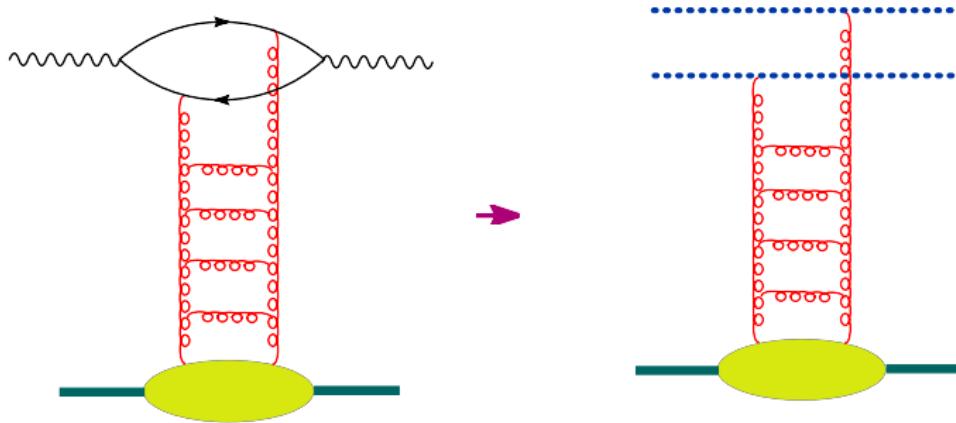
$$A(s) = \int \frac{d^2 k_\perp}{4\pi^2} I^A(k_\perp) \langle B | \text{Tr}\{U(k_\perp)U^\dagger(-k_\perp)\} | B \rangle$$

$$U(x_\perp) = \text{Pexp} \left[ ig \int_{-\infty}^{\infty} du n^\mu A_\mu(un + x_\perp) \right]$$

Wilson line

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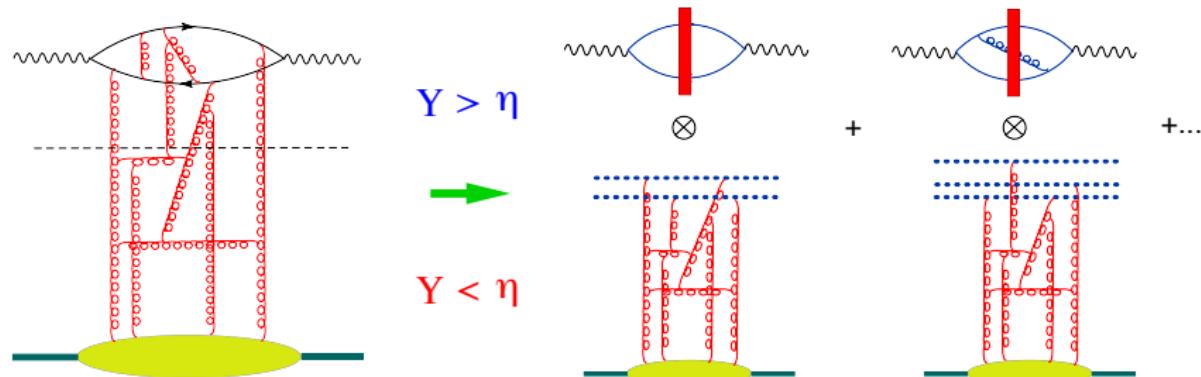


$$A(s) = \int \frac{d^2 k_\perp}{4\pi^2} I^A(k_\perp) \langle B | \text{Tr}\{U(k_\perp)U^\dagger(-k_\perp)\} | B \rangle$$

$$U(x_\perp) = P \exp \left[ ig \int_{-\infty}^{\infty} du n^\mu A_\mu(un + x_\perp) \right] \quad \text{Wilson line}$$

Formally,  $\rightarrow$  means the operator expansion in Wilson lines

# Rapidity factorization



$\eta$  - rapidity factorization scale

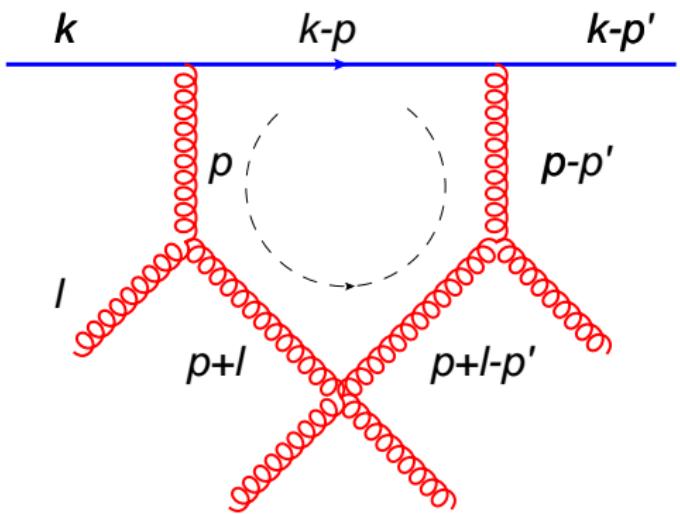
Rapidity  $Y > \eta$  - coefficient function (“impact factor”)

Rapidity  $Y < \eta$  - matrix elements of (light-like) Wilson lines with rapidity divergence cut by  $\eta$

$$U_x^\eta = \text{Pexp} \left[ ig \int_{-\infty}^{\infty} dx^+ A_+^\eta(x_+, x_\perp) \right]$$

$$A_\mu^\eta(x) = \int \frac{d^4 k}{(2\pi)^4} \theta(e^\eta - |\alpha_k|) e^{-ik \cdot x} A_\mu(k)$$

# Wilson lines from Feynman diagrams



$p = \alpha p_1 + \beta p_2 + p_\perp$   
- Sudakov variables.

$$g_{\mu\nu} \rightarrow \frac{2}{s} p_{1\mu} p_{2\nu}$$

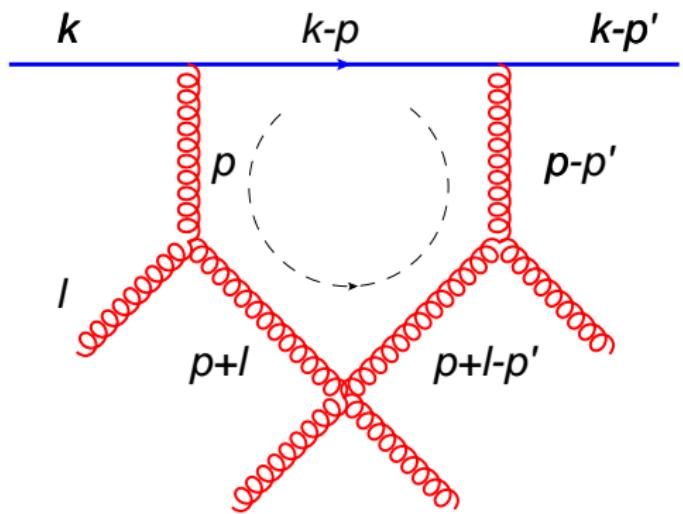
$$\not{p}_2 \frac{\not{k} - \not{p}}{(k - p)^2 + i\epsilon} \not{p}_2 \simeq \frac{\not{p}_2}{\beta_k - \beta_p - \frac{(\vec{k} - \vec{p})_\perp^2}{\alpha_k s} + i\epsilon \alpha_k}.$$

I will prove now that if I replace this by the “eikonal propagator”

$$\frac{\not{p}_2}{-\beta_p + i\epsilon \alpha_k},$$

the value of the loop integral over  $\beta_p$  remains unchanged.

# Wilson lines from Feynman diagrams



$$\alpha_k \gg \alpha_p, \alpha_l$$

$$p = \alpha p_1 + \beta p_2 + p_\perp$$

- Sudakov variables.

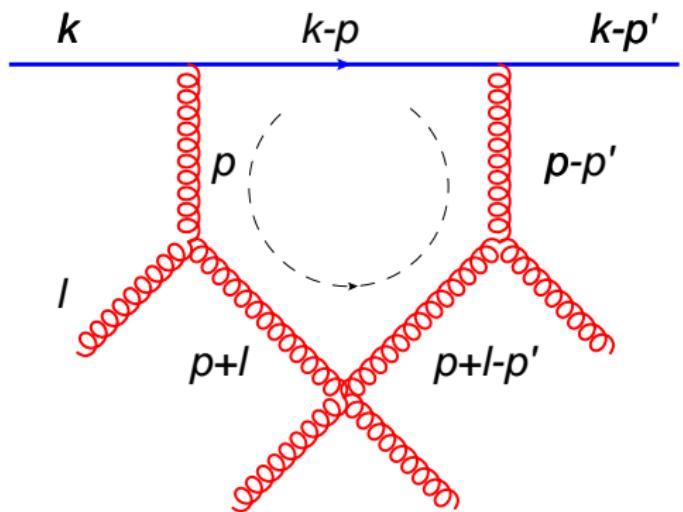
$$g_{\mu\nu} \rightarrow \frac{2}{s} p_{1\mu} p_{2\nu}$$

1. Residue at the pole of quark propagator  $\beta_p = \beta_k - \frac{(\vec{k}-\vec{p})_\perp^2}{\alpha_k s}$

Gluon :  $(\alpha_p + \alpha_l)\beta_l s - (p + \tilde{p})_\perp^2 + (\alpha_p + \alpha_l)\beta_k s - \frac{\alpha_p + \alpha_l}{\alpha_k}(\vec{k} - \vec{p})_\perp^2$ .

First two terms  $\sim m^2$  while the second two  $\sim \frac{\alpha_p}{\alpha_k}m^2$  ( $\beta_k \sim \frac{m^2}{\alpha_k s}$ )  
⇒ same result as from the pole at  $\beta_p = 0$ .

# Wilson lines from Feynman diagrams



$$\alpha_k \gg \alpha_p, \alpha_l$$

$$p = \alpha p_1 + \beta p_2 + p_{\perp}$$

- Sudakov variables.

$$g_{\mu\nu} \rightarrow \frac{2}{s} p_{1\mu} p_{2\nu}$$

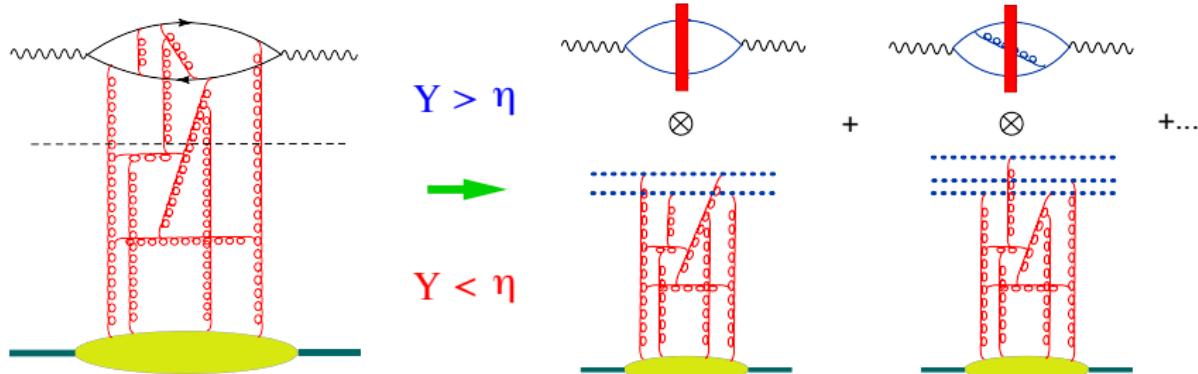
2. Residue at the pole of a gluon propagator  $\beta_p = -\beta_l + \frac{(p+l)_{\perp}^2}{(\alpha_p + \alpha_l)s} \Rightarrow$

Quark prop :

$$\frac{p'_2}{\beta_l - \frac{(p+l)_{\perp}^2}{(\alpha_p + \alpha_l)s} + \beta_k - \frac{(\vec{k}-\vec{p})_{\perp}^2}{\alpha_k s} + i\epsilon\alpha_k} \rightarrow \frac{p'_2}{\beta_l - \frac{(p+l)_{\perp}^2}{(\alpha_p + \alpha_l)s}}$$

( first two terms  $\sim \frac{m^2}{\alpha_p s} \gg$  second two  $\sim \frac{m^2}{\alpha_k s} \right) \Leftrightarrow$  quark pole  $\frac{p'_2}{-\beta_p + i\epsilon\alpha_k}$

# Rapidity factorization



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Rapidity  $Y > \eta$  - coefficient function (“impact factor”)

Rapidity  $Y < \eta$  - matrix elements of (light-like) Wilson lines with rapidity divergence cut by  $\eta$

$$U_x^\eta = \text{Pexp} \left[ ig \int_{-\infty}^{\infty} dx^+ A_+^\eta(x_+, x_\perp) \right]$$

$$A_\mu^\eta(x) = \int \frac{d^4 k}{(2\pi)^4} \theta(e^\eta - |\alpha_k|) e^{-ik \cdot x} A_\mu(k)$$

## Spectator frame: propagation in the shock-wave background.



Each path is weighted with the gauge factor  $P e^{ig \int dx_\mu A^\mu}$ . Quarks and gluons do not have time to deviate in the transverse space  $\Rightarrow$  we can replace the gauge factor along the actual path with the one along the straight-line path.



[ $x \rightarrow z$ : free propagation]  $\times$

[ $U^{ab}(z_\perp)$  - instantaneous interaction with the  $\eta < \eta_2$  shock wave]  $\times$

[ $z \rightarrow y$ : free propagation]

# Rescaling in the Regge limit

Amplitude = correlation function of 4 scalar currents

$$\begin{aligned} A(s, t) &= -i \int d^2 z_\perp e^{-i(r, z)_\perp} \mathcal{N}^{-1} \int \mathcal{D}A e^{iS(A)} \det(i\nabla) \\ &\times \left\{ \int dz_+ \int d^4 x e^{-ip_A \cdot x} \langle j(x_-, x_+ + z_+, x_\perp + z_\perp) j(0, z_+, z_\perp) \rangle_A \right\} \\ &\times \left\{ \int dz_- \int d^4 y e^{-ip_B \cdot y} \langle j(y_- + z_-, y_+, y_\perp) j(z_-, 0, 0_\perp) \rangle_A \right\}, \end{aligned}$$

Regge limit:  $s = (p_A + p_B)^2 \rightarrow \infty$ ,  $p_A^2, p_B^2, t = -r_\perp^2$  - fixed

$$p_A = \lambda \kappa e_- + \frac{p_A^2}{s} \kappa e_+, \quad e_+ \cdot e_- = 1, \quad \kappa \equiv \sqrt{\frac{s}{2}}$$

$$p_A = \lambda \kappa e_+ + \frac{p_b^2}{s} \kappa e_-,$$

## “External” shock-wave gluon field

We “freeze” the gluon field, consider the “upper part”

$$\int dz_+ \int d^4x e^{-ip_A \cdot x} \langle j(x_-, x_+ + z_+, x_\perp + z_\perp) j(0, z_+, z_\perp) \rangle_A$$

and rescale  $z_+ \rightarrow \lambda z_+$ ,  $z_- \rightarrow \frac{z_-}{\lambda}$   $(p_A^{(0)} = \kappa e_+ + \frac{p_A^2}{s} \kappa e_-)$

$$\begin{aligned} & \int d^4x d^4z \delta(z_-) e^{-ip_A x - i(r, z)_\perp} \langle j(x+z) j(z) \rangle_A \\ &= \lambda \int d^4x d^4z \delta(z_-) e^{-ip_A^{(0)} x - i(r, z)_\perp} \langle j(x+z) j_\nu(z) \rangle_B, \end{aligned}$$

The boosted field  $B_\mu$  has the form

$$B_-(x_-, x_+, x_\perp) = \lambda A_-(\frac{x_-}{\lambda}, \lambda x_+, x_\perp),$$

$$B_*(x_-, x_+, x_\perp) = \frac{1}{\lambda} A_+(\frac{x_-}{\lambda}, \lambda x_+, x_\perp),$$

$$B_\perp(x_-, x_+, x_\perp) = A_\perp(\frac{x_-}{\lambda}, \lambda x_+, x_\perp),$$

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If  $F_{\mu\nu}(A) \rightarrow 0$  as  $x_+ \rightarrow \infty$  we get a “pancake” field for  $G_{\mu\nu}(B)$

$$G_{-i}(x_-, x_+, x_\perp) = \lambda F_{-i}(\frac{x_-}{\lambda}, \lambda x_+, x_\perp) \rightarrow \delta(x_+) G_i(x_\perp),$$

$$G_{+i}(x_-, x_+, x_\perp) = \frac{1}{\lambda} F_{+i}(\frac{x_-}{\lambda}, \lambda x_+, x_\perp) \rightarrow 0,$$

$$G_{+-,ik}(x_-, x_+, x_\perp) = \frac{1}{\lambda} F_{+-,ik}(\frac{x_-}{\lambda}, \lambda x_+, x_\perp) \rightarrow 0,$$

## “External” shock-wave gluon field

We “freeze” the gluon field, consider the “upper part”

$$\int dz_+ \int d^4x e^{-ip_A \cdot x} \langle j(x_-, x_+ + z_+, x_\perp + z_\perp) j(0, z_+, z_\perp) \rangle_A$$

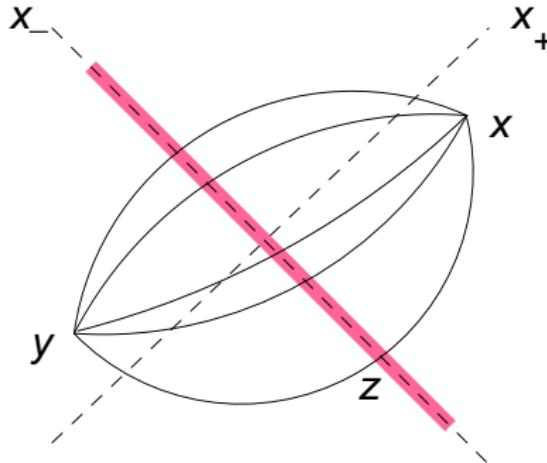
and rescale  $z_+ \rightarrow \lambda z_+$ ,  $z_- \rightarrow \frac{z_-}{\lambda}$   $(p_A^{(0)} = \kappa e_+ + \frac{p_A^2}{s} \kappa e_-)$

$$\begin{aligned} & \int d^4x d^4z \delta(z_-) e^{-ip_A x - i(r, z)_\perp} \langle j(x+z) j(z) \rangle_A \\ &= \lambda \int d^4x d^4z \delta(z_-) e^{-ip_A^{(0)} x - i(r, z)_\perp} \langle j(x+z) j_\nu(z) \rangle_B, \end{aligned}$$

The only component which survives the infinite boost is  $F_{-\perp}$  and it exists only within the thin “pancake” near  $x_+ = 0$ . In the rest of the space the field  $B_\mu$  is a pure gauge. Let us denote by  $\Omega$  the corresponding gauge matrix and by  $B^\Omega$  the rotated gauge field which vanishes everywhere except the pancake:

$$B_-^\Omega = \lim_{\lambda \rightarrow \infty} \frac{\partial^i}{\partial z_\perp^2} G_{i-}^\Omega(0, \lambda x_*, x_\perp) \rightarrow \delta(x_*) \frac{\partial^i}{\partial z_\perp^2} G_i^\Omega(x_\perp), \quad B_+^\Omega = B_\perp^\Omega = 0.$$

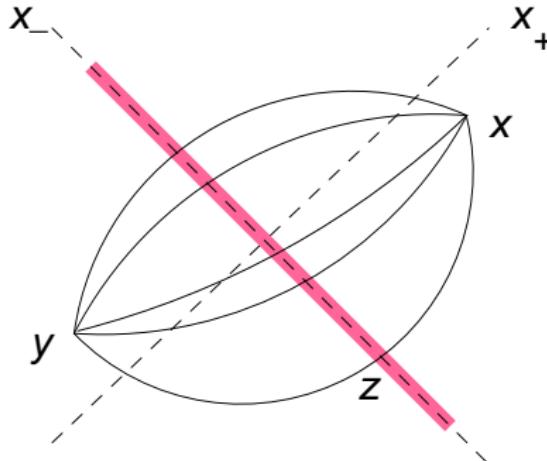
# Propagators in the shock-wave background



“Pancake” is very thin ( $l_+ \sim \frac{1}{\lambda}$ )  $\Rightarrow$  path inside the shock wave can be approximated by a segment of the straight line in  $x_+$  direction

$$\begin{aligned} (x | \frac{1}{\mathcal{P}^2} | y) &= \frac{-i}{\mathcal{N}} \int_0^\infty d\tau \int_{x(0)=y}^{x(\tau)=x} \mathcal{D}x(t) e^{-i \int_0^\tau dt \frac{\dot{x}^2}{4}} \text{Pexp} \left\{ ig \int_0^\tau dt (B_\mu^\Omega(x(t)) \dot{x}^\mu(t)) \right\} \\ &= \int \frac{d^4 z}{4\pi^4} \delta(z_+) \frac{1}{(x-z)^2} \overset{\leftrightarrow}{\frac{\partial}{\partial z_-}} \frac{1}{(z-y)^2} \text{Pexp} \left\{ ig \int dz_+ B_-^\Omega(z_+, z_\perp) \right\} \end{aligned}$$

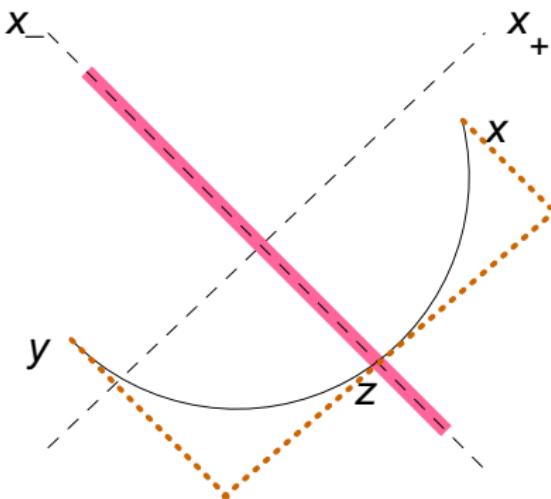
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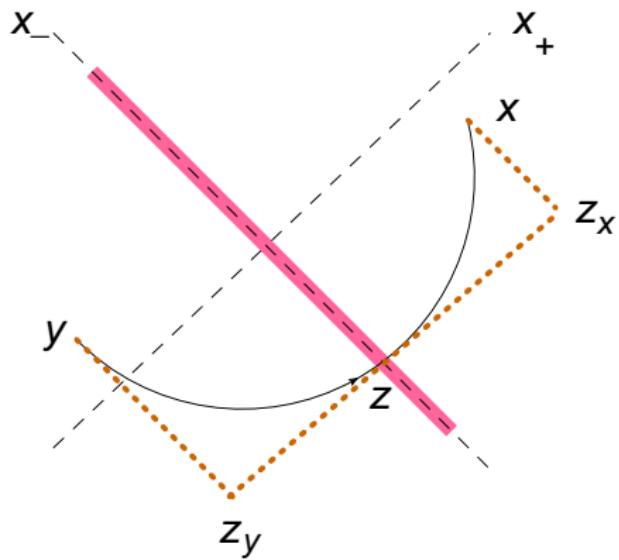
# Propagators in the shock-wave background



Rotating back  $B^\omega \rightarrow B$   
we get

$$(x \Big| \frac{1}{\mathcal{P}^2} |y) = \int \frac{d^4 z}{4\pi^4} \delta(z_+) \frac{1}{(x-z)^2} \overset{\leftrightarrow}{\partial}_{z_-} \frac{1}{(z-y)^2} U(z_\perp; x, y),$$
$$U(z_\perp; x, y) = [x, z_x][z_x, z_y][z_y, y], \quad z_x = x_+ e_- + z_- e_+ + z_\perp$$

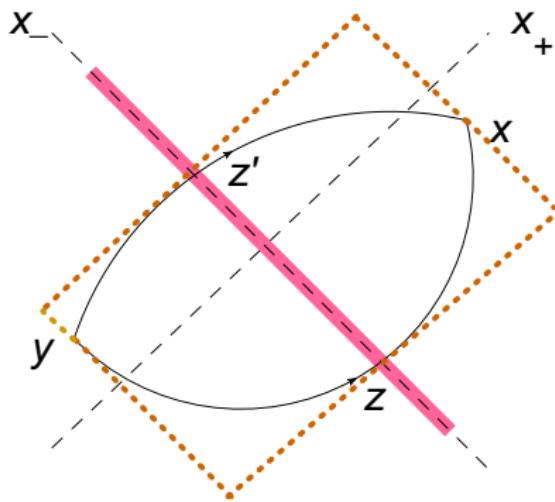
# Propagators in the shock-wave background



Quark propagator

$$(x | \frac{1}{\mathcal{P}} | y) = i \int dz \delta(z_+) \frac{x - z}{2\pi^2(x - z)^4} \not{\epsilon}_+ U(z_\perp; x, y) \frac{z - y}{2\pi^2(z - y)^4}.$$

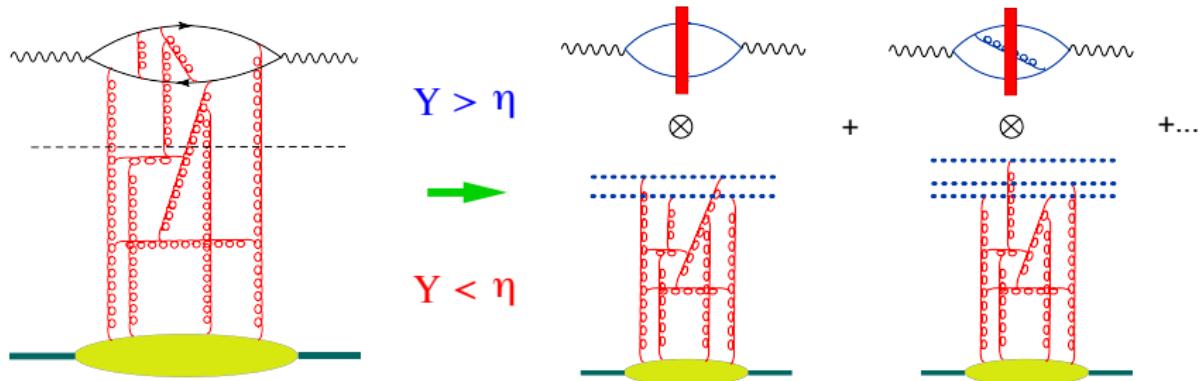
# Propagators in the shock-wave background



Quark-antiquark pair in a  
shock-wave background

$$\begin{aligned} \text{Tr}\left\{\gamma_\mu(x)\frac{1}{\mathcal{P}}|y\rangle\gamma_\nu(y)\frac{1}{\mathcal{P}}|x\rangle\right\} &= -\int dz dz' \delta(z_+) \delta(z'_+) \\ &\times \text{tr}\left\{\gamma_\mu \frac{x-z}{2\pi^2(x-z)^4} \not{d}_+ \frac{z-y}{2\pi^2(z-y)^4} \gamma_\nu \frac{y-z'}{2\pi^2(y-z')^4} \not{d}_+ \frac{z'-x}{2\pi^2(z'-x)^4}\right\} U(z_\perp; z'_\perp) \\ U(z_\perp, z'_\perp) &= \text{Tr}[z_x, z_y][z_y, z'_y][z'_y, z'_x][z'_x, z_x] \end{aligned}$$

# High-energy expansion in color dipoles



The high-energy operator expansion is

$$(x-y)^4 T\{\bar{\psi}(x)\gamma^\mu \hat{\psi}(x)\bar{\psi}(y)\gamma^\nu \hat{\psi}(y)\} = \int \frac{d^2 z_1 d^2 z_2}{z_{12}^4} I_{\mu\nu}^{\text{LO}}(z_1, z_2) \text{tr}\{\hat{U}_{z_1}^\eta \hat{U}_{z_2}^{\dagger\eta}\}$$

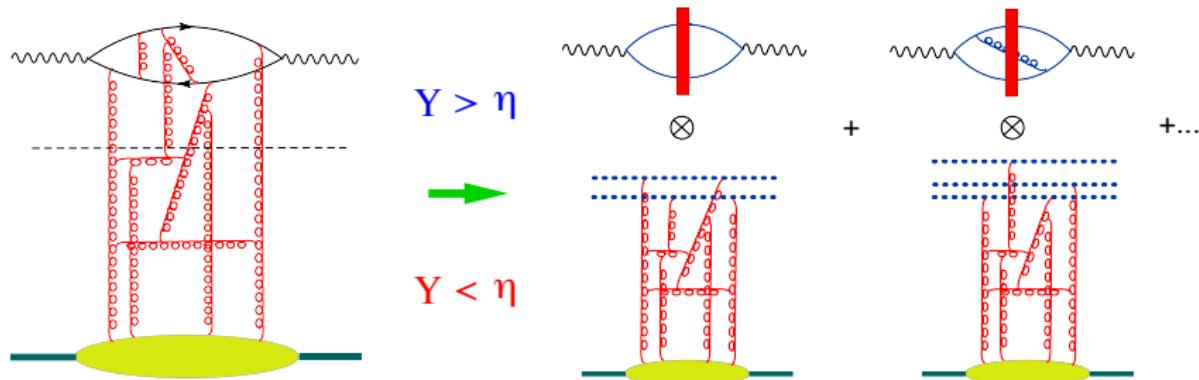
$$I_{\mu\nu}^{\text{LO}}(z_1, z_2) = \frac{\mathcal{R}^2}{\pi^6 (\kappa \cdot \zeta_1)(\kappa \cdot \zeta_2)} \frac{\partial^2}{\partial x^\mu \partial y^\nu} [(\kappa \cdot \zeta_1)(\kappa \cdot \zeta_2) - \frac{1}{2} \kappa^2 (\zeta_1 \cdot \zeta_2)].$$

$$\kappa \equiv \frac{1}{\sqrt{s}x^+} \left( \frac{p_1}{s} - x^2 p_2 + x_\perp \right) - \frac{1}{\sqrt{s}y^+} \left( \frac{p_1}{s} - y^2 p_2 + y_\perp \right)$$

$$\zeta_i \equiv \left( \frac{p_1}{s} + z_{i\perp}^2 p_2 + z_{i\perp} \right),$$

$$\mathcal{R} \equiv \frac{\kappa^2 (\zeta_1 \cdot \zeta_2)}{2(\kappa \cdot \zeta_1)(\kappa \cdot \zeta_2)}$$

# High-energy expansion in color dipoles



$\eta$  - rapidity factorization scale

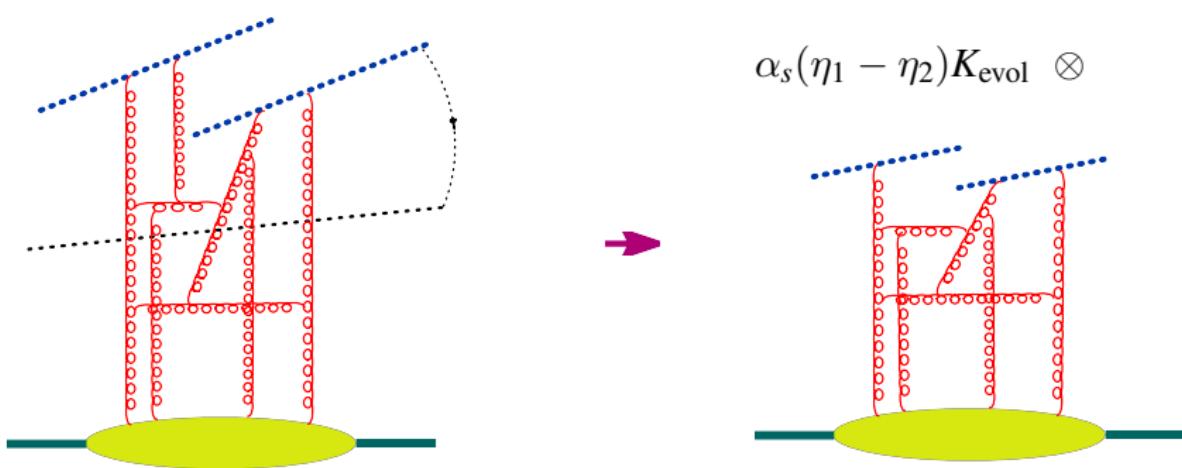
Step II - Evolution equation for color dipoles

$$\begin{aligned} \frac{d}{d\eta} \text{tr}\{U_x^\eta U_y^{\dagger\eta}\} &= \frac{\alpha_s}{2\pi^2} \int d^2 z \frac{(x-y)^2}{(x-z)^2(y-z)^2} [\text{tr}\{U_x^\eta U_y^{\dagger\eta}\} \text{tr}\{U_x^\eta U_y^{\dagger\eta}\} \\ &- N_c \text{tr}\{U_x^\eta U_y^{\dagger\eta}\}] + \alpha_s K_{\text{NLO}} \text{tr}\{U_x^\eta U_y^{\dagger\eta}\} + O(\alpha_s^2) \end{aligned}$$

(Linear part of  $K_{\text{NLO}} = K_{\text{NLO BFKL}}$ )

## Evolution equation for color dipoles

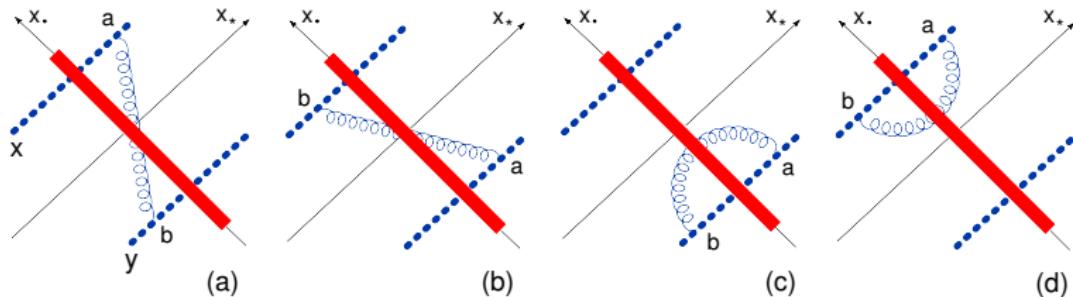
To get the evolution equation, consider the dipole with the rapidities up to  $\eta_1$  and integrate over the gluons with rapidities  $\eta_1 > \eta > \eta_2$ . This integral gives the kernel of the evolution equation (multiplied by the dipole(s) with rapidities up to  $\eta_2$ ).



## Evolution equation in the leading order

$$\frac{d}{d\eta} \text{Tr}\{\hat{U}_x \hat{U}_y^\dagger\} = K_{\text{LO}} \text{Tr}\{\hat{U}_x \hat{U}_y^\dagger\} + \dots \Rightarrow$$

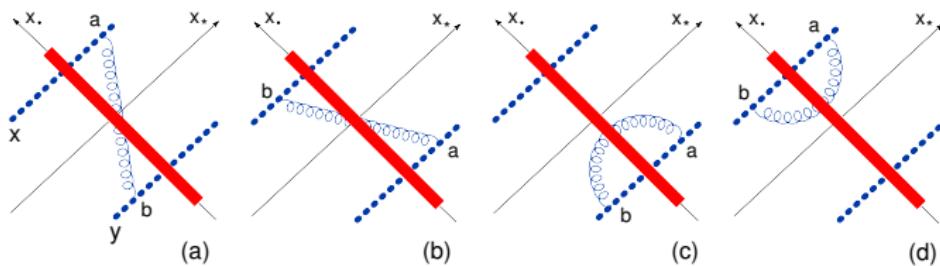
$$\frac{d}{d\eta} \langle \text{Tr}\{\hat{U}_x \hat{U}_y^\dagger\} \rangle_{\text{shockwave}} = \langle K_{\text{LO}} \text{Tr}\{\hat{U}_x \hat{U}_y^\dagger\} \rangle_{\text{shockwave}}$$



$$U_z^{ab} = \text{Tr}\{t^a U_z t^b U_z^\dagger\} \Rightarrow (U_x U_y^\dagger)^{\eta_1} \rightarrow (U_x U_y^\dagger)^{\eta_1} + \alpha_s(\eta_1 - \eta_2)(U_x U_z^\dagger U_z U_y^\dagger)^{\eta_2}$$

$\Rightarrow$  Evolution equation is non-linear

# Derivation of the non-linear equation



The gluon propagator in a shock-wave external field in the  $A_+ = 0$  gauge

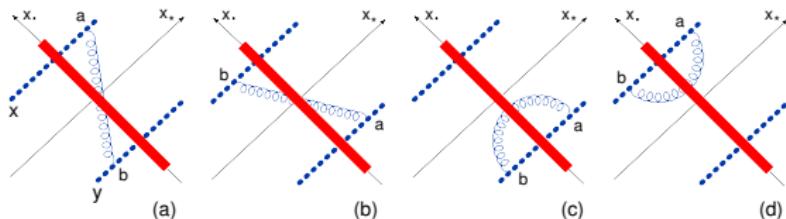
$$\langle \hat{A}_\mu^a(x) \hat{A}_\nu^b(y) \rangle_{x_+ > 0 > y_+} = -\frac{i}{2} \int d^4 z \delta(z_+) \frac{x_+ g_{\mu\xi}^\perp - e_\mu^+(x-z)_\xi^\perp}{\pi^2[(x-z)^2 + i\epsilon]^2} U_{z\perp}^{ab} \frac{1}{\partial_+^{(z)}} \frac{y_+ \delta_{\nu}^{\perp\xi} - e_\nu^+(y-z)_\perp^\xi}{\pi^2[(z-y)^2 + i\epsilon]^2}$$

$$\text{Diagram (a)} = g^2 \int_0^\infty dx_+ \int_{-\infty}^0 dy_+ \langle \hat{A}_\bullet^{a,Y_1}(x_+, x_\perp) \hat{A}_\bullet^{b,Y_1}(y_+, y_\perp) \rangle_{\text{Fig.(a)}}$$

$$= -4\alpha_s \int_0^{e^{Y_1}} \frac{d\alpha}{\alpha} (x_\perp | \frac{p_i}{p_\perp^2 - i\epsilon} U^{ab} \frac{p_i}{p_\perp^2 - i\epsilon} | y_\perp)$$

$$(x_\perp | F(p_\perp) | y_\perp) \equiv \int d\vec{p} e^{i(p,x-y)_\perp} F(p_\perp) \text{ - Schwinger's notations}$$

# Derivation of the non-linear equation



Formally, the integral over  $\alpha$  diverges at the lower limit, but since we integrate over the rapidities  $Y > Y_2$  in the leading log approximation, we get ( $\Delta Y \equiv Y_1 - Y_2$ )

$$\begin{aligned} g^2 \int_0^\infty dx_+ \int_{-\infty}^0 dy_+ \langle \hat{A}_\bullet^{a,Y_1}(x_+, x_\perp) \hat{A}_\bullet^{b,Y_1}(y_+, y_\perp) \rangle_{\text{Fig.(a)}} &= -4\alpha_s \Delta Y(x_\perp | \frac{p_i}{p_\perp^2} U^{ab} \frac{p_i}{p_\perp^2} | y_\perp) \\ \Rightarrow \langle \hat{U}_{z_1}^Y \otimes \hat{U}_{z_2}^{\dagger Y} \rangle_{\text{Fig.(a)}}^{Y_1} &= -\frac{\alpha_s}{\pi^2} \Delta Y (t^a U_{z_1} \otimes t^b U_{z_2}^\dagger) \int d^2 z_3 \frac{(z_{13}, z_{23})}{z_{13}^2 z_{23}^2} U_{z_3}^{ab} \end{aligned}$$

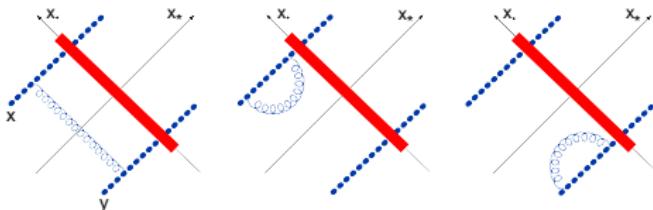
The contribution of the diagram in Fig. (b) is obtained by the replacement  $t^a U_{z_1} \otimes t^b U_{z_2}^\dagger \rightarrow U_{z_1} t^b \otimes U_{z_2}^\dagger t^a$ ,  $z_2 \leftrightarrow z_1$ . The two remaining diagrams (c) and (d) are obtained by  $z_2 \rightarrow z_1$  for Fig.(c) and  $z_1 \rightarrow z_2$  for Fig.(d).

Result:

$$\langle \text{Tr}\{\hat{U}_{z_1}^{Y_1} \hat{U}_{z_2}^{\dagger Y_1}\} \rangle_{\text{Figs(a)-(d)}} = \frac{\alpha_s \Delta Y}{\pi^2} \int d^2 z_3 \frac{z_{12}^2}{z_{13}^2 z_{23}^2} [\text{Tr}\{t^a U_{z_1} U_{z_3}^\dagger t^a U_{z_3} U_{z_2}^\dagger\} - \frac{1}{N_c} \text{Tr}\{U_{z_1} U_{z_2}^\dagger\}]$$

# Derivation of the non-linear equation

Diagrams without the gluon-shockwave intersection:



These diagrams are proportional to the original dipole  $\text{Tr}\{U_{z_1} U_{z_2}^\dagger\} \Rightarrow$  corresponding term can be derived from the contribution of Fig. (a)-(d) graphs using the requirement that the r.h.s. of the evolution equation should vanish in the absence of the shock wave ( $U \rightarrow 1$ ).

$$\langle \text{Tr}\{\hat{U}_{z_1}^{Y_1} \hat{U}_{z_2}^{\dagger Y_1}\} \rangle = \frac{\alpha_s \Delta Y}{\pi^2} \int d^2 z_3 \frac{z_{12}^2}{z_{13}^2 z_{23}^2} [\text{Tr}\{t^a U_{z_1} U_{z_3}^\dagger t^a U_{z_3} U_{z_2}^\dagger\} - N_c \text{Tr}\{U_{z_1} U_{z_2}^\dagger\}]$$

⇒ non-linear equation for the evolution of the color dipole

$$\frac{d}{dY} \text{Tr}\{\hat{U}_{z_1}^Y \hat{U}_{z_2}^{\dagger Y}\} = \frac{\alpha_s}{2\pi^2} \int d^2 z_3 \frac{z_{12}^2}{z_{13}^2 z_{23}^2} [\text{Tr}\{\hat{U}_{z_1}^Y \hat{U}_{z_3}^{\dagger Y}\} \text{Tr}\{\hat{U}_{z_1}^Y \hat{U}_{z_2}^{\dagger Y}\} - N_c \text{Tr}\{\hat{U}_{z_1}^Y \hat{U}_{z_2}^{\dagger Y}\}]$$

# Non linear evolution equation

$$\hat{\mathcal{U}}(x, y) \equiv 1 - \frac{1}{N_c} \text{Tr}\{\hat{U}(x_\perp) \hat{U}^\dagger(y_\perp)\}$$

## BK equation

$$\frac{d}{d\eta} \hat{\mathcal{U}}(x, y) = \frac{\alpha_s N_c}{2\pi^2} \int \frac{d^2 z}{(x-z)^2 (y-z)^2} \left\{ \hat{\mathcal{U}}(x, z) + \hat{\mathcal{U}}(z, y) - \hat{\mathcal{U}}(x, y) - \hat{\mathcal{U}}(x, z) \hat{\mathcal{U}}(z, y) \right\}$$

I. B. (1996), Yu. Kovchegov (1999)

Alternative approach: JIMWLK (1997-2000)

# Non-linear evolution equation

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I. B. (1996), Yu. Kovchegov (1999)

Alternative approach: JIMWLK (1997-2000)

LLA for DIS in pQCD  $\Rightarrow$  BFKL

(LLA:  $\alpha_s \ll 1, \alpha_s \eta \sim 1$ )

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I. B. (1996), Yu. Kovchegov (1999)

Alternative approach: JIMWLK (1997-2000)

LLA for DIS in pQCD  $\Rightarrow$  BFKL

(LLA:  $\alpha_s \ll 1, \alpha_s \eta \sim 1$ )

LLA for DIS in sQCD  $\Rightarrow$  BK eqn

(LLA:  $\alpha_s \ll 1, \alpha_s \eta \sim 1, \alpha_s A^{1/3} \sim 1$ )

(s for semiclassical)

## Argument of coupling constant

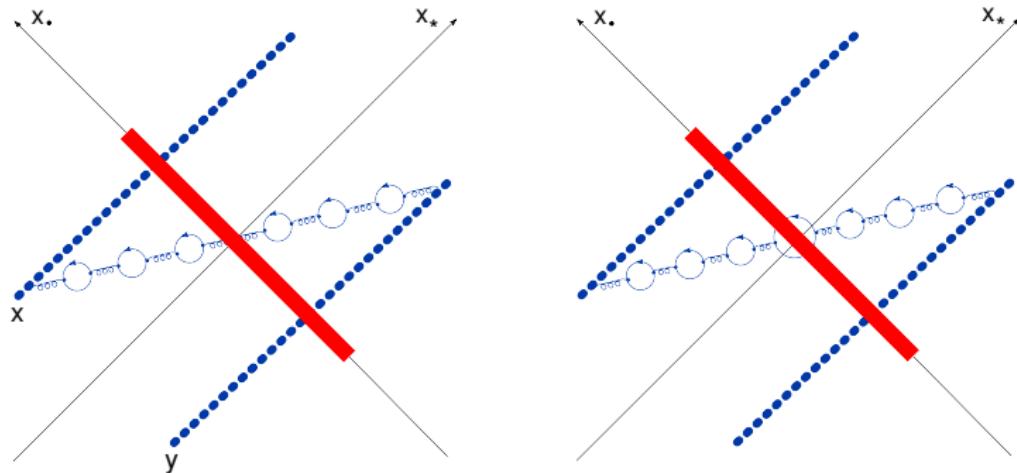
$$\frac{d}{d\eta} \hat{\mathcal{U}}(z_1, z_2) =$$

$$\frac{\alpha_s(?_\perp) N_c}{2\pi^2} \int dz_3 \frac{z_{12}^2}{z_{13}^2 z_{23}^2} \left\{ \hat{\mathcal{U}}(z_1, z_3) + \hat{\mathcal{U}}(z_3, z_2) - \hat{\mathcal{U}}(z_1, z_2) - \hat{\mathcal{U}}(z_1, z_3) \hat{\mathcal{U}}(z_3, z_2) \right\}$$

# Argument of coupling constant

$$\frac{d}{d\eta} \hat{\mathcal{U}}(z_1, z_2) = \frac{\alpha_s(?_\perp) N_c}{2\pi^2} \int dz_3 \frac{z_{12}^2}{z_{13}^2 z_{23}^2} \left\{ \hat{\mathcal{U}}(z_1, z_3) + \hat{\mathcal{U}}(z_3, z_2) - \hat{\mathcal{U}}(z_1, z_2) - \hat{\mathcal{U}}(z_1, z_3) \hat{\mathcal{U}}(z_3, z_2) \right\}$$

Renormalon-based approach: summation of quark bubbles



$$-\frac{2}{3}n_f \rightarrow b = \frac{11}{3}N_c - \frac{2}{3}n_f$$

## Argument of coupling constant (rcBK)

$$\begin{aligned}\frac{d}{d\eta} \text{Tr}\{\hat{U}_{z_1} \hat{U}_{z_2}^\dagger\} &= \frac{\alpha_s(z_{12}^2)}{2\pi^2} \int d^2 z [\text{Tr}\{\hat{U}_{z_1} \hat{U}_{z_3}^\dagger\} \text{Tr}\{\hat{U}_{z_3} \hat{U}_{z_2}^\dagger\} - N_c \text{Tr}\{\hat{U}_{z_1} \hat{U}_{z_2}^\dagger\}] \\ &\times \left[ \frac{z_{12}^2}{z_{13}^2 z_{23}^2} + \frac{1}{z_{13}^2} \left( \frac{\alpha_s(z_{13}^2)}{\alpha_s(z_{23}^2)} - 1 \right) + \frac{1}{z_{23}^2} \left( \frac{\alpha_s(z_{23}^2)}{\alpha_s(z_{13}^2)} - 1 \right) \right] + \dots\end{aligned}$$

I.B.; Yu. Kovchegov and H. Weigert (2006)

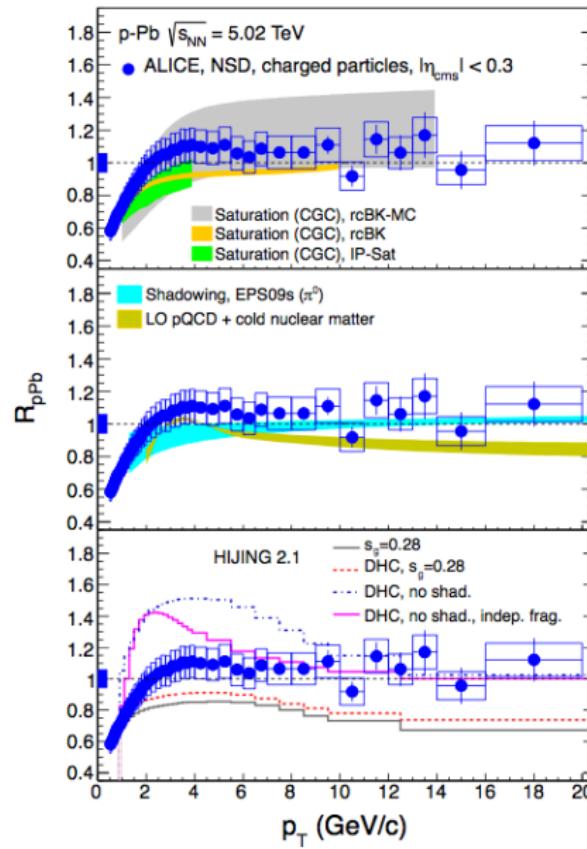
When the sizes of the dipoles are very different the kernel reduces to:

$$\frac{\alpha_s(z_{12}^2)}{2\pi^2} \frac{z_{12}^2}{z_{13}^2 z_{23}^2} \quad |z_{12}| \ll |z_{13}|, |z_{23}|$$

$$\frac{\alpha_s(z_{13}^2)}{2\pi^2 z_{13}^2} \quad |z_{13}| \ll |z_{12}|, |z_{23}|$$

$$\frac{\alpha_s(z_{23}^2)}{2\pi^2 z_{23}^2} \quad |z_{23}| \ll |z_{12}|, |z_{13}|$$

⇒ the argument of the coupling constant is given by the size of the smallest dipole.



ALICE arXiv:1210.4520

## Nuclear modification factor

$$R^{pPb}(p_T) = \frac{d^2 N_{\text{ch}}^{pPb} / d\eta dp_T}{\langle T_{pPb} \rangle d^2 \sigma_{\text{ch}}^{\text{pp}} / d\eta dp_T}$$

$N^{pPb} \equiv$  charged particle yield in p-Pb collisions.